

FINANCIAL ADVISOR REPORT CARD



TOP 10 QUESTIONS	GPWC	YOUR ADVISOR
1. Your advisor is a Portfolio Manager accredited with the CIM® designation and required to act as a fiduciary on your investment matters.	YES	
2. Your advisor provides an Investment Policy Statement that outlines your risk tolerance, time horizon and the investment process they will use to manage your money?	YES	
3. Your advisor charges investment management fees as a percentage of the account value. This provides transparency and potential for tax deduction of some fees. Effectively, this amounts to a daily contract that can be canceled at any time without penalty.	YES	
4. Your advisor has a defined investment process that is focused on principal protection. This process involves a sell discipline that will move your investments to cash (to eliminate risk) at any time.	YES	
5. Your advisor has provided you with a written Financial Plan ensuring they understand your personal goals and visions regarding investments, insurance, tax and estate strategies as appropriate for your situation?	YES	
6. Your advisor has offered to work with your accountant and lawyer to ensure your plan is integrated to provide the best possible outcome?	YES	
7. Your advisor meets with you every 12 months, involving a comprehensive checklist, to ensure all areas of your finances have been addressed and are moving towards your goals?	YES	
8. Your advisor has provided you with an Estate Directory to assist you in providing your Executor with a central listing of all important accounts, documents, contacts and any other information they may require?	YES	
9. Your advisor has a qualified, licensed, team of associates available to answer your questions in the event that your advisor is not available to speak with?	YES	
10. Your advisor reviews your tax return annually for opportunities to minimize tax?	YES	

FOR THE COMPLETE FINANCIAL ADVISOR REPORT CARD, PLEASE VISIT WWW.GREENPRIVATEWEALTH.COM



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